

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 12-2004)		ATTORNEY'S DOCKET NUMBER SGB-101.1 US (8806/94330)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Unknown 10/535017
INTERNATIONAL APPLICATION NO. PCT/US03/34460	INTERNATIONAL FILING DATE 30 October 2003	PRIORITY DATE CLAIMED 14 November 2002

TITLE OF INVENTION
PURIFIED COMPOUNDS THAT INHIBIT INTRACELLULAR α_4 /PAXILLIN BINDING

APPLICANT(S) FOR DO/EO/US
Mark Ginsberg and Dale Boger

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

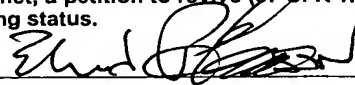
1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☒ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV554441954US**
23. ☒ Other items or information:

Application Data Sheet, Preliminary Amendment and Abstract Page, Response to Communication in Cases for Which No Other Form is Applicable (correcting claims) and Verified Certification of Express Mailing (37CFR 1.10(c) No. EV554441954US

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) 10/535017 <small>Unknown</small>		INTERNATIONAL APPLICATION NO. PCT/US03/34460		ATTORNEY'S DOCKET NUMBER SGB-101.1 US (94330)	
24. The following fees are submitted:				Applicant use	Office use
<input checked="" type="checkbox"/> a) Basic national fee \$300.00				\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee \$200.00				\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee \$500.00				\$ 500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$ 1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE	
75 - 100 =	0 /50 =	0		x	\$ 0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	32 - 20 =	12	x	\$50.00	\$ 600.00
Independent claims	4 - 3 =	1	x	\$200.00	\$ 200.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> +				\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,930.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 965.00	
SUBTOTAL =				\$ 965.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 965.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ 0.00	
TOTAL FEES ENCLOSED =				\$ 965.00	
Amount to be refunded:					\$
Amount to be charged:					\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ 965.00 to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. 23-0920 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is					
c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0920 . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Edward P. Gamson, Esq. WELSH & KATZ, LTD. 120 South Riverside Plaza 22nd Floor Chicago, Illinois 60606			 SIGNATURE Edward P. Gamson, Esq. NAME 29,381 REGISTRATION NUMBER		

10/535017

JC14 Rec'd PCT/PTO 12 MAY 2005

Attorney's Docket No. 8330☐ RECEIVING OFFICE (RO/US)

IN THE UNITED STATES

☒ DESIGNATED OFFICE (DO/US)☒ ELECTED OFFICE (EO/US)PCT/US03/34460
INTERNATIONAL APPLICATION NO.30 October 2003
INTERNATIONAL FILING DATE14 November 2002
PRIORITY DATE CLAIMEDPURIFIED COMPOUNDS THAT INHIBIT INTRACELLULAR α 4/PAXILLIN BINDING
TITLE OF INVENTIONMark Ginsberg and Dale Boger
APPLICANT(s)

Box PCT
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE
(INTERNATIONAL APPLICATION (37 CFR 1.10(c))**

I declare that on May 12, 2005 I deposited with the United States Postal Service in an envelope as "Express Mail, Post Office to Addressee" bearing Label Number EV554441954US addressed to "Box PCT / U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202" and having an express mail certification which I executed, the following papers:

Transmittal Letter (form PTO-1390), International Application including spec claims and abstract and drawings, Decl. and Power of Attorney documents, Application Data Sheet, Search, Preliminary Amendment and Abstract Page, Response to Communication in Cases for Which No Other Form is Applicable (correcting claimes) and Application Fee

A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Shannon Wooten
(typed or printed name of person making this verified statement)

Date May 12, 2005


(Signature of person making this verified statement)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNITED STATES RECEIVING OFFICE (RO/US)

Applicant: The Scripps Research)
Institute et al.)
)
International)
Application No. PCT/US03/34460)
)
International)
Filing Date: 30 October 2003)
)
Title: PURIFIED COMPOUNDS THAT INHIBIT)
INTRACELLULAR α 4/PAXILLIN BINDING)

RESPONSE TO COMMUNICATION IN CASES FOR
WHICH NO OTHER FORM IS APPLICABLE

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is in response to the Communication in
Cases For Which No Other Form Is Applicable dated 5
February 2004, indicating a discrepancy concerning the
claims in the international application.


Transmitted herewith is a red-marked copy of
page 62 of the claims where claim No. 13 is missing.
Also transmitted herewith are replacement claims (pages
58-68) correcting the claim numbers to include claim
No. 13 and renumbering them from 1-31.

International Application -2-
No. PCT/US03/34460

No fee is believed necessary. However,
please charge our Deposit Account No. 23-0920 should
any fee be needed.

The Examiner is requested to phone the
undersigned should any questions arise that can be
dealt with over the phone to expedite this prosecution.

Respectfully submitted,

By 
Edward P. Gamson, Reg.No. 29,381

Enclosures:
Red-marked copy of page 62 of claims
Replacement Claims (pages 58-68)

WELSH & KATZ, LTD.
120 South Riverside Plaza, 22nd Floor
Chicago, Illinois 60606
Phone (312) 655-1500
Fax No. (312) 655-1501

International Application -3-
No. PCT/US03/34460

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this Response, together with the stated enclosures, are being deposited with the United States Postal Service as Express Mail, Express Mail Mailing Label No. EV337100402US addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 24, 2004.

John Austin Renzert